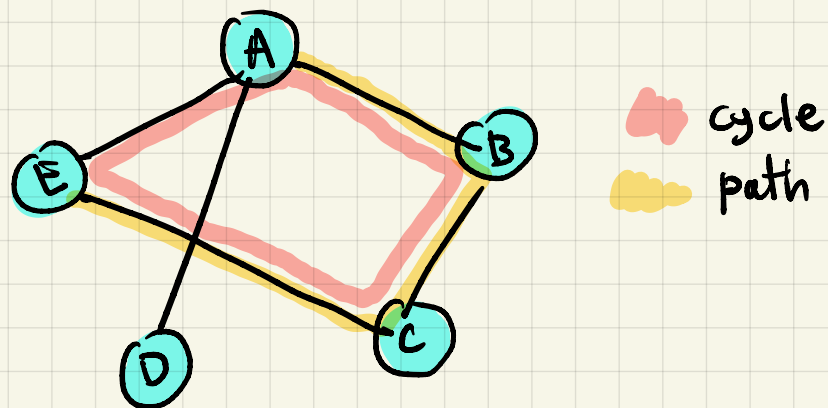


# Graph Theory

- A graph consists of a set of vertices  $V$  and a set of edges  $E \subset V \times V$ .
- It represents pairwise relationship, typically illustrated using dots or circles for vertices and lines (not necessarily straight) for edges.

Example:



We will focus on undirected graphs. Edges have no direction.

What do we know?

- Degree of a vertex: # edges touching it.

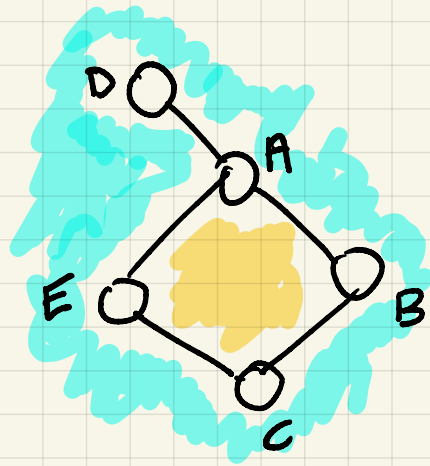
$$- \sum_{v \in V} d_v = 2|E| = 2 \times \# \text{ edges}$$

- Planar graphs: a graph we can draw in the plane without edges crossing

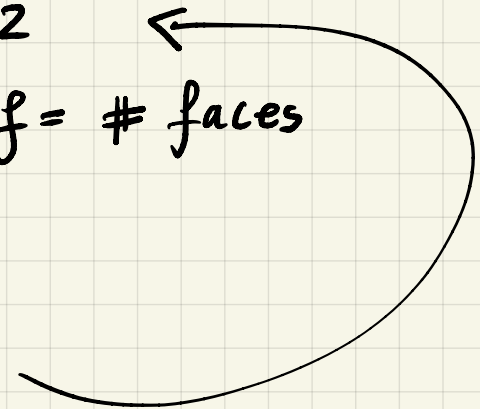
Euler formula:  $v - e + f = 2$

$$v = |V|, e = |E|, f = \# \text{ faces}$$

Example



$$\left. \begin{array}{l} v = 5 \\ e = 5 \\ f = 2 \end{array} \right\} \text{verify}$$



A path in the graph is a sequence of vertices connected by edges

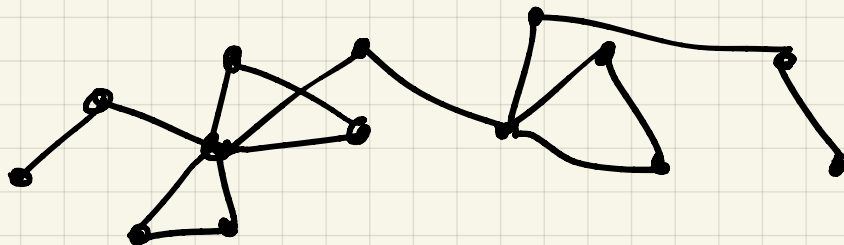
$v_1, v_2, \dots, v_n$  (path from  $v_1$  to  $v_n$ )

- $v_i \neq v_j$
- $(v_i, v_{i+1}) \in E$
- All edges are distinct.

Exception:  $v_1 = v_n$ , path is called a cycle.

Why A B A is not a cycle? (same edge)

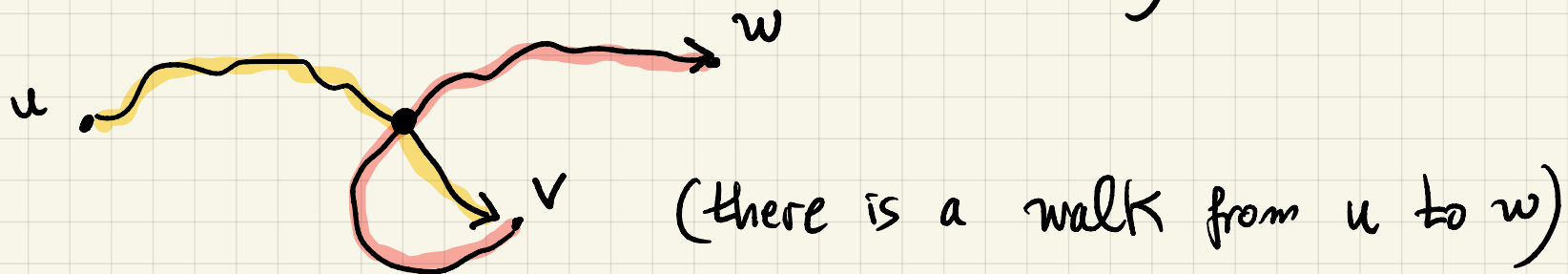
A walk is a path where vertices and edges can repeat, and if  $v_1 = v_n$ , we call it closed walk.



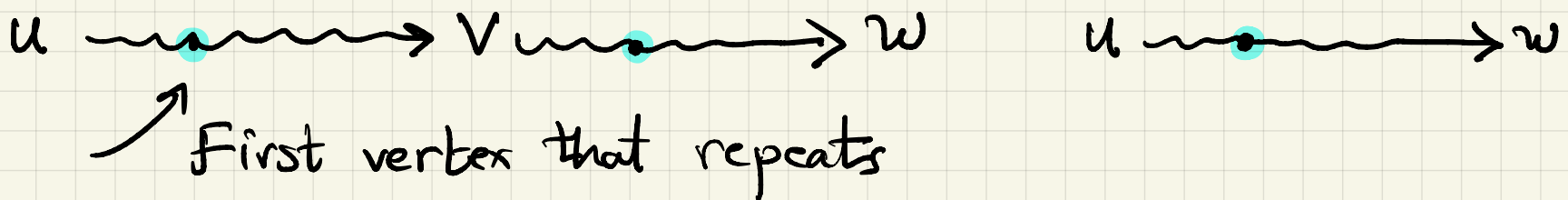
The path relation is an equivalence relation on  $V$

$u \rightsquigarrow v \iff$  there is a path from  $u$  to  $v$

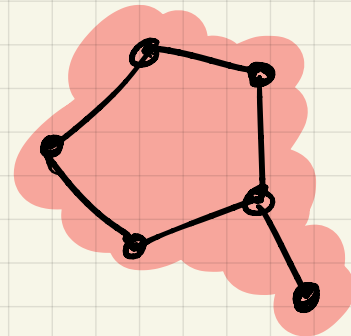
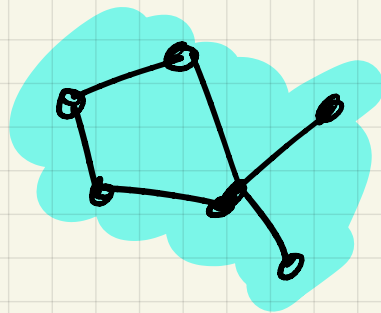
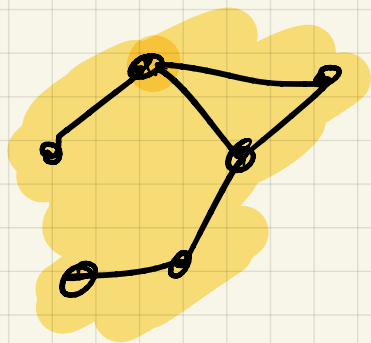
- Reflexive:  $u \rightsquigarrow u$  (Empty path)
- Symmetric:  $u \rightsquigarrow v \iff v \rightsquigarrow u$  (Undirected graph)
- Transitive:  $u \rightsquigarrow v \wedge v \rightsquigarrow w \implies u \rightsquigarrow w$   
(tricky but true)



Extract a path from the walk.



- Every equivalence relation defines classes of equivalence
- What are the classes of equivalence in the graph.
- We call them the connected components of the graph



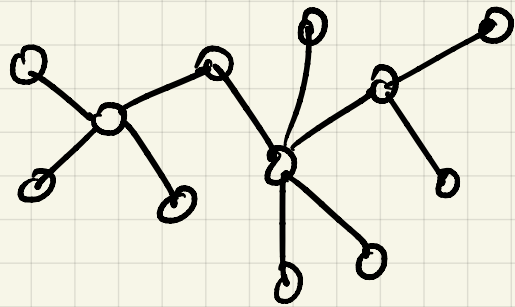
This graph has 3 connected components  $c=3$

A graph with  $c=1$  is called a connected graph.

Update: Euler formula:  $v - e + f = c + 1$   
(planar graphs)

# Trees

A tree is a connected graph with no cycles.



Alternative definitions:

1. connected & cycle-free
2. connected but removing any edge disconnects it.  
[minimally connected]
3. Cycle-free but adding any edge creates a cycle  
[maximally cycle-free]

$$\text{Tree} \implies |E| = |V| - 1$$

$$\text{Tree} \Rightarrow |E| = |V| - 1$$

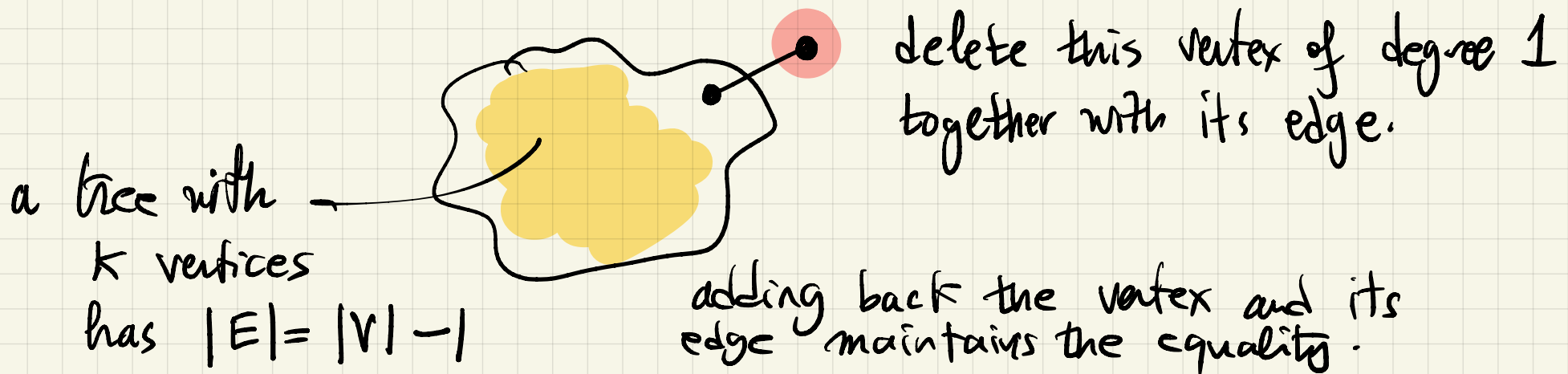
Lemma: Every tree with  $|V| \geq 2$  must have at least one vertex with degree 1.

Proof: Induction on  $n = |V|$

Base case:  $n = 1$  •  $|E| = 0$   
 $|V| = 1$   $|E| = |V| - 1$

Inductive step: A tree with  $k$  vertices has  
 $|E| = |V| - 1$

Consider a tree with  $k+1$  vertices, it must have a vertex with degree 1



a tree with  $k$  vertices has  $|E| = |V| - 1$

adding back the vertex and its edge maintains the equality.

Proving Euler's formula for  $c=1$  (connected graph)

$$v - e + f = 2$$

Induction of # edges.

Base case:  $|E|=0$   $|V|=1$

$$v - e + f = 1 - 0 + 1 = 2 \checkmark$$

Inductive step: Assume true for  $k$ , consider  $k+1$  edges

- If graph is tree, then:  $e = k+1$

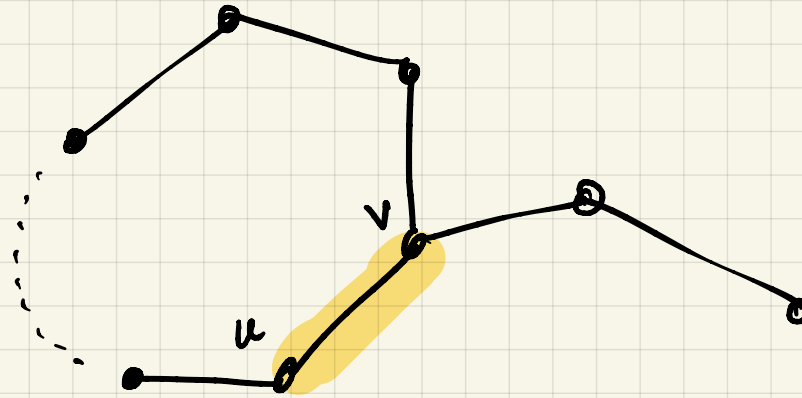
$$v = k+2$$

$$f = 1$$

$$(k+2) - (k+1) + 1 = 2$$

- If not, then there is a cycle. Delete an edge on the cycle. Now we have a connected graph with  $k$  edges, it must have  $v - e + f = 2$ .



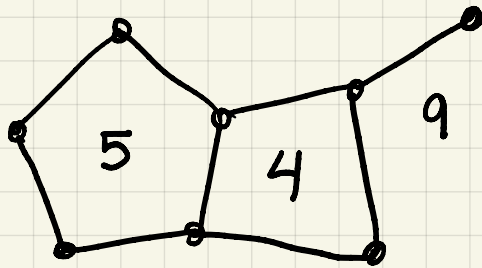


Deleting  $(u, v)$  also merges two faces.

So when we add  $(u, v)$  back, we get  $e+1$  edges and  $f+1$  faces, making  $v - (e+1) + (f+1) = 2$ .

Which graphs are not planar?

First, define degree of a face to be the number of edges on a closed walk of its boundary

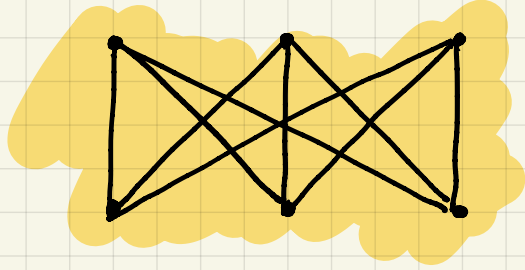


$$5 + 4 + 3 = 12$$

$$e = 9$$

$$\sum_f d_f = 2e$$

$K_{3,3}$  is not planar

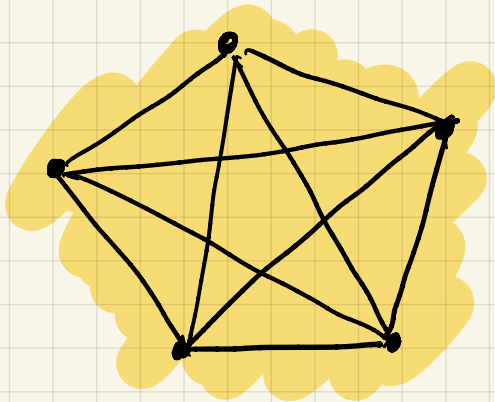


every face has at least 4 edges

$$4f \leq 2e$$

$$v=6, e=9 \Rightarrow f=5, \text{ but } 4 \times 5 > 2 \times 9$$

$K_5$  is not planar



every face has at least 3 edges

$$v=5, e=10 \Rightarrow f=7, \text{ but } 3 \times 7 > 2 \times 10$$

Every non-planar graph has  $K_{3,3}$  or  $K_5$  as a "basic shape"

Another interesting result:

Every planar graph with  $v > 2$  satisfies

$$e \leq 3v - 6$$

proof: Since every face has degree at least 3 (because  $v > 2$ )

we have  $3f \leq 2e$

$$\text{but } e = v + f - 2 \leq v + \frac{2e}{3} - 2 \Rightarrow e \leq 3v - 6$$

Average degree

$$\sum_{v \in V} d_v = 2e \leq 6v - 12$$

$$\frac{\sum d_v}{v} \leq 6 - \frac{12}{v} < 6 \quad (\text{there must be a vertex } v \text{ such that } d_v \leq 5)$$