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Recurrence

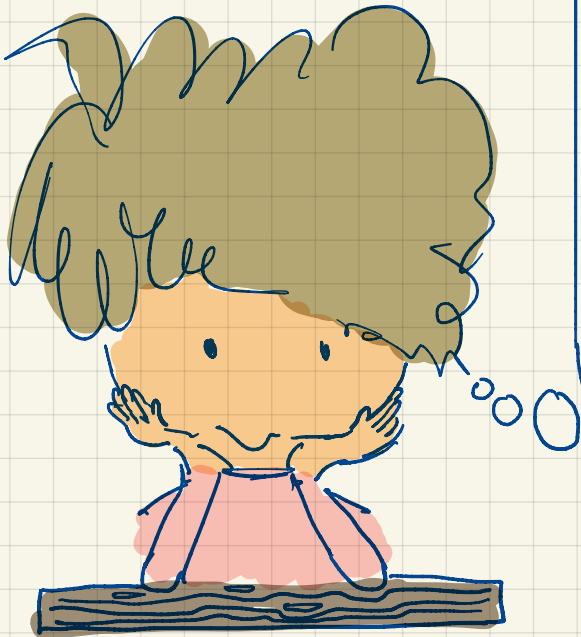
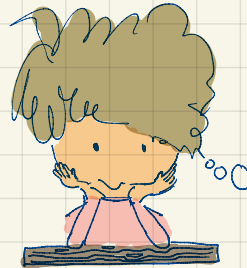
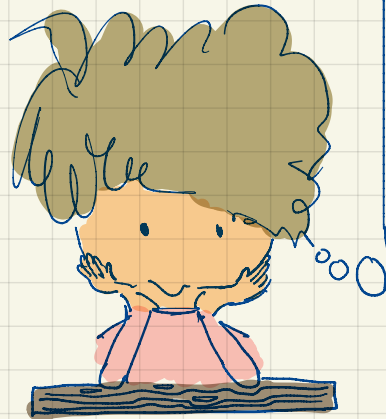
Recurrence

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Recurrences & Proofs by Induction

Given an infinite sequence

$$a_0, a_1, a_2, a_3, \dots$$

where

- the first few values are given a_0, a_1, \dots, a_{n_0}

- a_n is described in terms of a_{n-1}, a_{n-2}, \dots (a recurrence)

To prove that $a_n = f(n)$ we can use strong induction:

- Base case: verify $a_n = f(n)$ for $n \leq n_0$
- Inductive step: $\forall k \geq n_0 \cdot \bigwedge_{i \leq k} P(i) \implies P(k+1)$

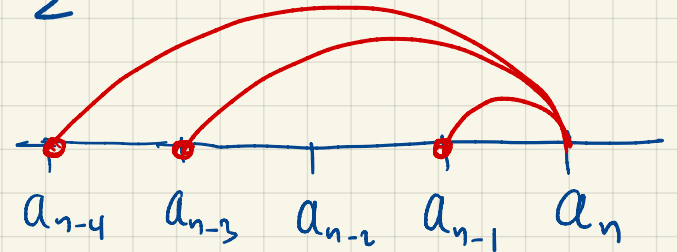
Consider $a_{k+1} = \dots$ using the recurrence

and replace each a_i by $f(i)$

Example:

$$a_0 = 0 \quad a_1 = 0 \quad a_2 = 1 \quad a_3 = 2$$

$$a_n = 2a_{n-1} - 2a_{n-3} + a_{n-4}$$



Prove $a_n = \frac{2n^2 - 1 + (-1)^n}{8}$ for $n \geq n_0$

$$\text{Base case: } a_0 = \frac{2 \times 0 - 1 + (-1)^0}{8} = \frac{0 - 1 + 1}{8} = 0 \quad \checkmark$$

$$a_1 = \frac{2 \times 1 - 1 + (-1)^1}{8} = \frac{2 - 1 - 1}{8} = 0 \quad \checkmark$$

$$a_2 = \frac{2 \times 4 - 1 + (-1)^2}{8} = \frac{8 - 1 + 1}{8} = 1 \quad \checkmark$$

$$a_3 = \frac{2 \times 9 - 1 + (-1)^3}{8} = \frac{18 - 1 - 1}{8} = 2 \quad \checkmark$$

Inductive step: Consider a_{k+1}

$$\frac{2(k+1)^2 - 1 + (-1)^{k+1}}{8}$$

$$\begin{aligned} a_{k+1} &= 2a_k - 2a_{k-2} + a_{k-3} \quad (a_{(k+1)} = 2a_{(k+1)-1} - 2a_{(k+1)-3} + a_{(k+1)-4}) \\ &= 2 \frac{2k^2 - 1 + (-1)^k}{8} - 2 \frac{2(k-2)^2 - 1 + (-1)^{k-2}}{8} + \frac{2(k-3)^2 - 1 + (-1)^{k-3}}{8} \end{aligned}$$

$$\begin{aligned} \bullet : 4k^2 - 4(k-2)^2 + 2(k-3)^2 &= 4k^2 - 4(k^2 + 4 - 4k) + 2(k^2 + 9 - 6k) \\ &= 4k^2 - 4k^2 - 16 + 16k + 2k^2 + 18 - 12k \\ &= 2k^2 + 4k + 2 = 2(k+1)^2 \end{aligned}$$

$$\bullet : 2(-1) - 2(-1) + (-1) = -1$$

$$\bullet : 2(-1)^k - 2(-1)^{k-2} + (-1)^{k-3} = -2(-1)^{k+1} + 2(-1)^{k+1} + (-1)^{k+1} = (-1)^{k+1}$$

Another example: [simple]

$$a_1 = 1$$

$$\text{Prove } a_n = 2^n - 1$$

$$a_n = 2a_{n-1} + 1$$

Base case: $a_1 = 2^1 - 1 = 1 \checkmark$ ($n_0 = 1$)

Inductive step: $a_{k+1} = 2a_k + 1 = 2[2^k - 1] + 1$
 $= 2^{k+1} - 2 + 1 = 2^{k+1} - 1.$

Counting with recurrences

- A recurrence can provide a useful mechanism for counting
- Let's say a_n represents some count, as a function of n .
- Sometimes, it's easier to describe a_n by a recurrence
- Guess what a_n is by making enough observations
- Prove it by Induction

We will explore examples of this framework

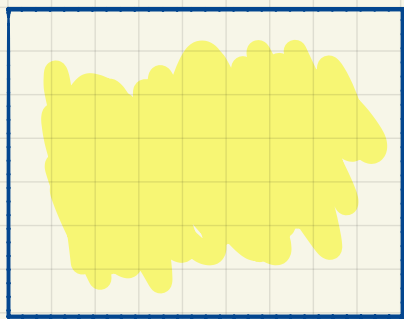
Example 1: Given n lines in general position

– No lines are parallel

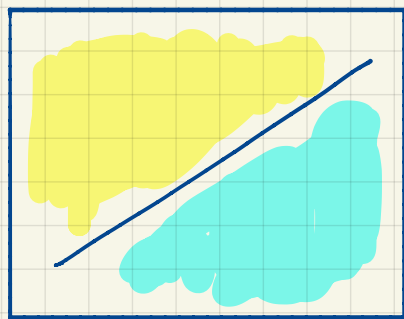
– No 3 lines intersect in a single point.

Basically, every pair of lines have their own intersection.

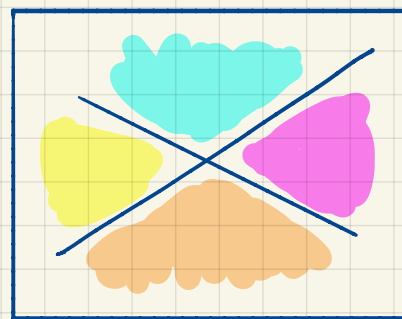
How many regions do the n lines define in the plane?



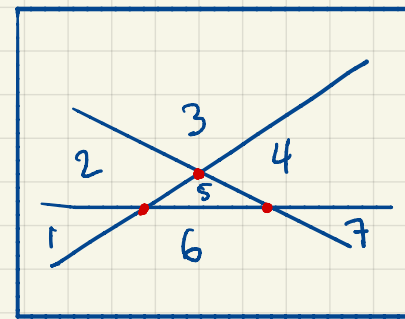
0 lines



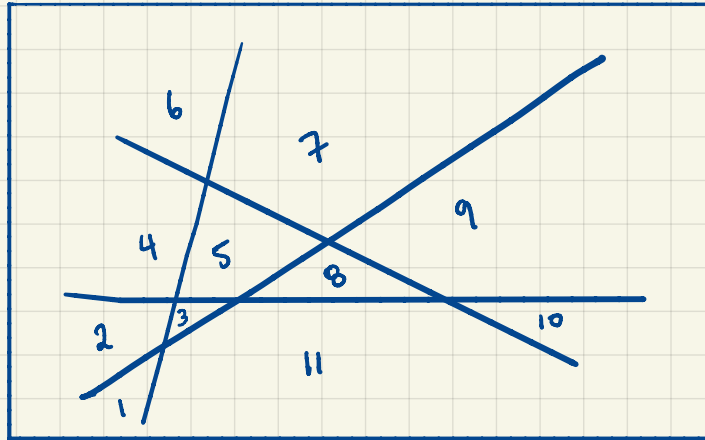
1 line



2 lines



3 lines



4 lines

$$R_0 = 1$$

$$R_1 = 2$$

$$R_2 = 4$$

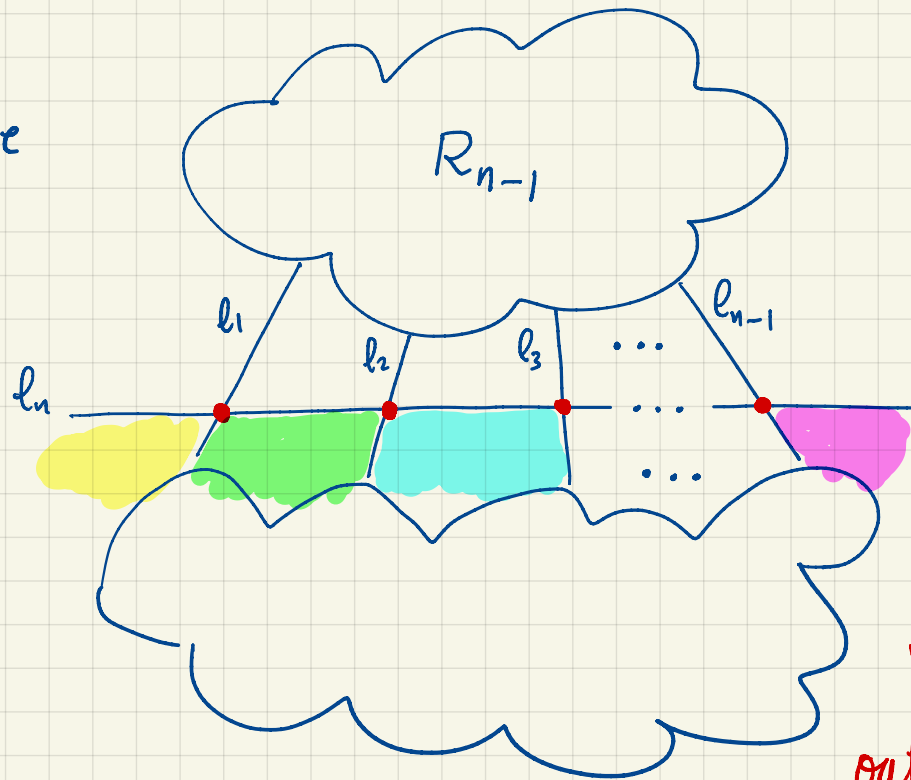
$$R_3 = 7$$

$$R_4 = 11$$

Let $R_n = \#$ regions defined by n lines

When the n^{th} line
is added ...

It creates
 $n-1$ new
intersections
and n
new regions



It's easier to
argue that the
 n^{th} line adds n new
regions than to figure
out the exact $\#$ regions.

So $R_0 = 1$
 $R_n = R_{n-1} + n$

n	0	1	2	3	4	5	6	7	8
R_n	1	2	4	7	11	16	22	29	37

Guess $R_n = 1 + \sum_{i=1}^n i = 1 + \frac{n(n+1)}{2}$

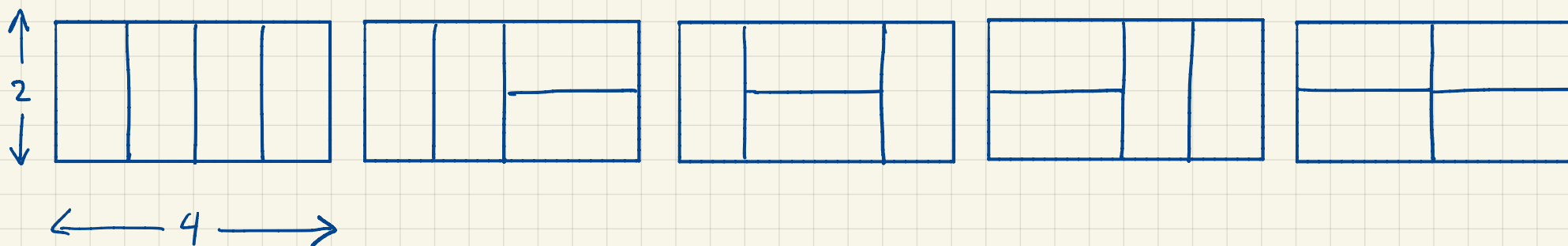
Base case: $R_0 = 1 + \frac{0(0+1)}{2} = 1 \checkmark$

Inductive Step: $R_{k+1} = R_k + (k+1) = 1 + \frac{k(k+1)}{2} + k+1$
 $= 1 + \frac{k(k+1) + 2(k+1)}{2} = 1 + \frac{(k+1)(k+2)}{2}$
 $= 1 + \frac{(k+1)[(k+1)+1]}{2}$

Example 2. Tiling with Dominos.

In how many ways can I tile a $2 \times n$ rectangle using 2×1 dominos. (We need n dominos)

Let's look at the case of $n = 4$.

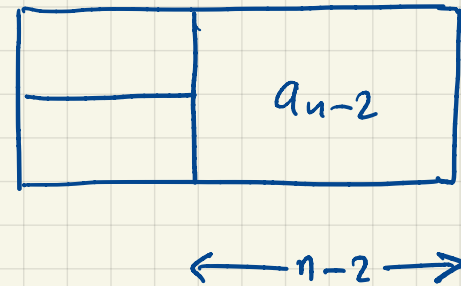
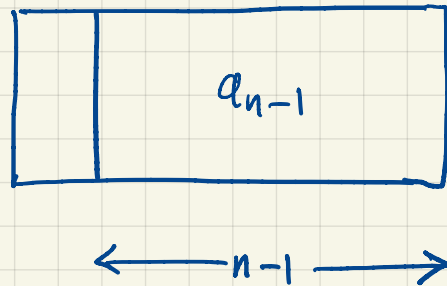


Looks complicated!

But let's figure out a recurrence...

Let $a_n = \#$ ways we can tile a rectangle of length n .

There are two cases, depending on how we start.



In the first case, we continue in a_{n-1} ways

In the second case, we continue in a_{n-2} ways.

Since the cases are disjoint (they start differently), using the addition rule

$$a_n = a_{n-1} + a_{n-2}$$

(Not necessarily Fibonacci)

$$\text{So } a_1 = 1$$

$$a_2 = 2$$

$$a_n = a_{n-1} + a_{n-2}$$

n	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>
	1	2	3	5	8	13	21

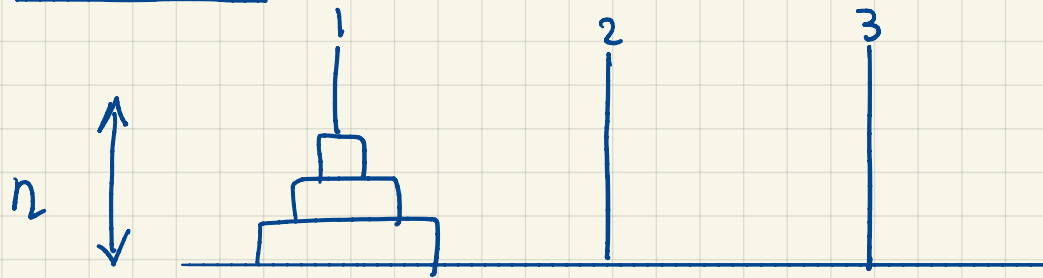
$$\text{Guess } a_n = F_{n+1}$$

Base case : ...

$$\text{Inductive step: } a_{k+1} = a_k + a_{k-1} = F_{k+1} + F_k = F_{k+2}$$

$$\text{So } a_{k+1} = F_{(k+1)+1}$$

Example 3 Tower of Hanoi



- Disks numbered 1 through n from smallest to largest
- All disks are stacked on first peg (see above) with disk 1 on top and disk n at bottom.
- Must move entire stack of disks to last peg
 - one disk at a time
 - without ever placing a disk on top of a smaller one.
- How many moves are needed ?

Spoiler Alert.

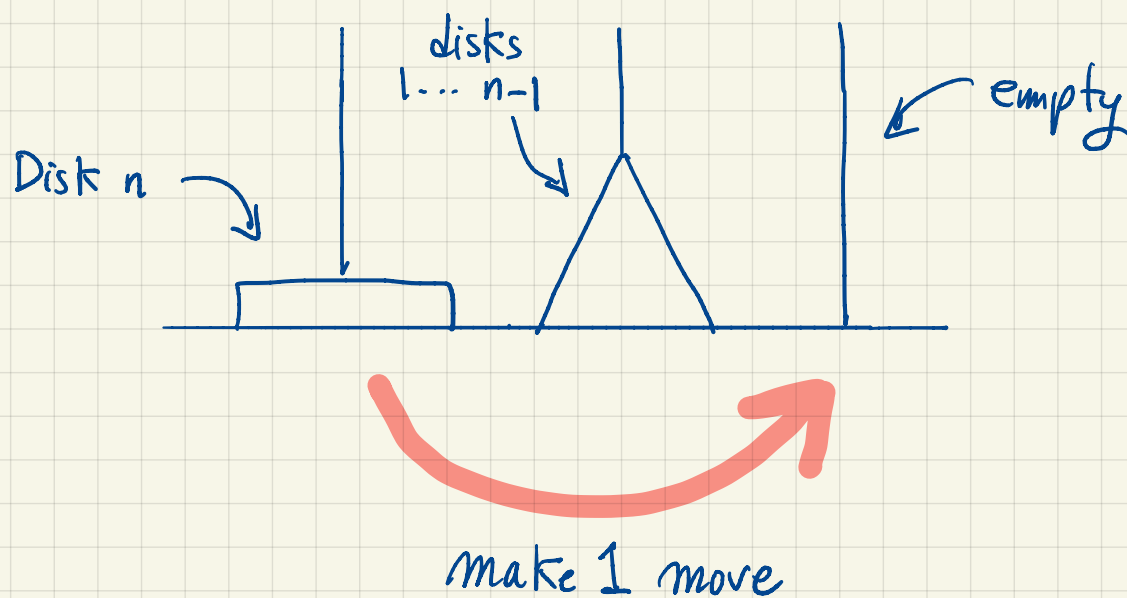
If $n = 64$ and each move takes 1 second,
we need 585 billion years to finish!

The current estimate of the age of the Universe is
 ≈ 13.8 billion years.

So this game is not practical to play, and yet
we can still study it!

Again, let $a_n = \#$ moves needed to solve the puzzle.

The key observation is that at some point, we must move disk n (the largest). So we must have :



- We must move $(n-1)$ disks from first peg to second
(Disk n will not be in the way)
That's a_{n-1} moves by definition
- Then we move disk n (1 move)
- Then we must move $(n-1)$ disks from second peg to third (Disk n will not be in the way)
That's a_{n-1} moves again.

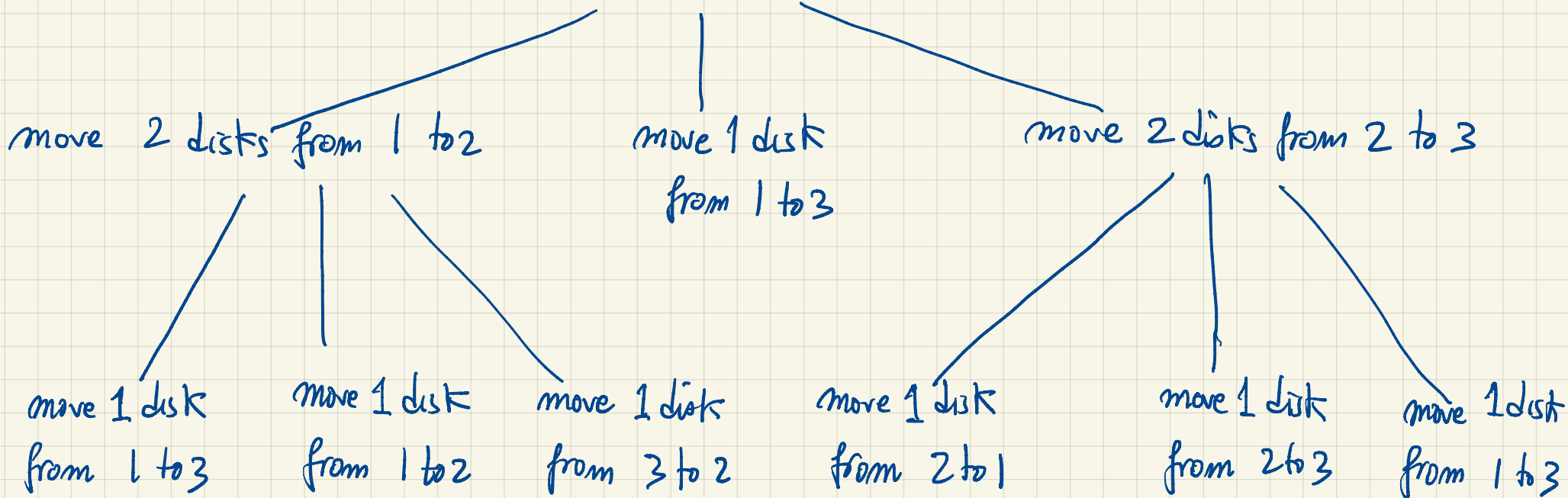
$$\text{So } a_n = a_{n-1} + 1 + a_{n-1} = 2a_{n-1} + 1$$

$$a_1 = 1 \quad (\text{trivial})$$

$$\text{Solved before : } a_n = 2^n - 1$$

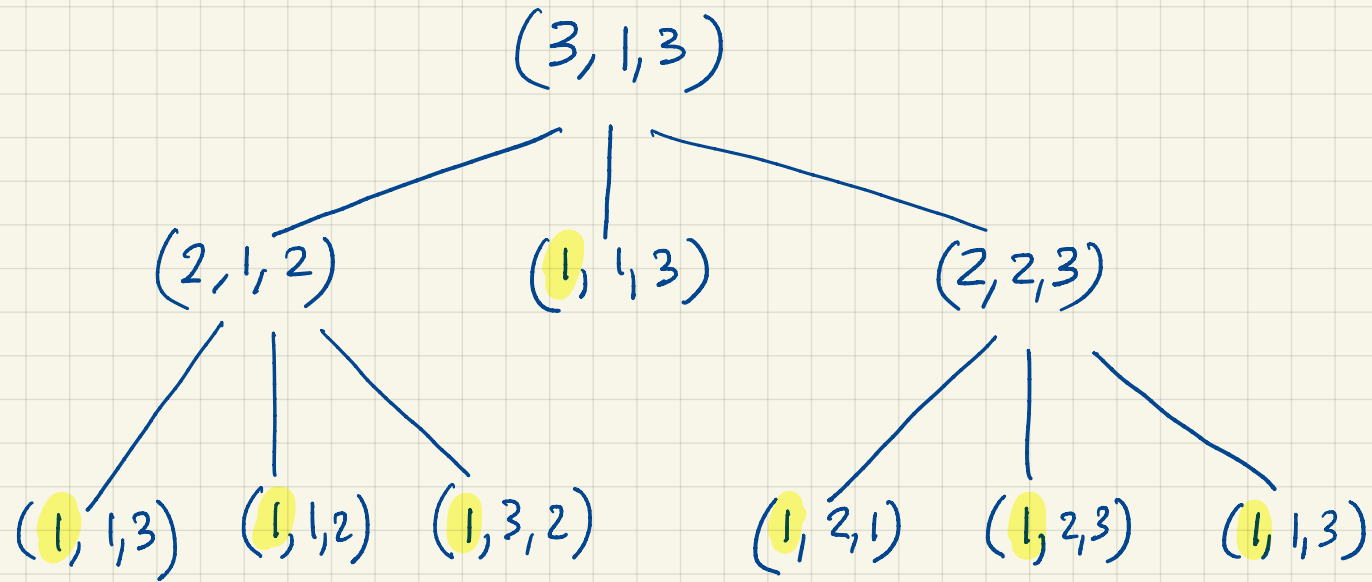
- Did we just compute the number of moves or solved the puzzle as well?
- We actually solved the puzzle, but the solution is described recursively.
- To move n disks from 1 to 3
 - move $n-1$ disks from 1 to 2 (recursive)
 - move 1 disk from 1 to 3
 - move $n-1$ disks from 2 to 3 (recursive)

Move 3 disks from 1 to 3



1→3 1→2 3→2 1→3 2→1 2→3 1→3

(# disks, from, to)



1 → 3

1 → 2

3 → 2

1 → 3

2 → 1

2 → 3

1 → 3